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| Name of Registered Representative: | |
|--|--|
| John C. Freeman, Registration No. 34,483 | |
| Leptenler 8,2003 | |
| Date of Signature | |

PATENT CASE NO. 56/350

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| n re | Application: |) | |
|--------|---------------------------|----------------------------|----------------------|
| Erich | Strasser |)) | |
| | · |) Group Art Unit: unassign | ned |
| Serial | No.: 09/835,293 |)) | BEOCIVED. |
| | |) Examiner: unassigned | RECEIVED |
| Filed: | April 13, 2001 |)) | SEP 1 5 2003 |
| For: | METHOD AND DEVICE FOR |) | OFFICE OF PETITIONS |
| | DETECTION OF OSCILLATIONS |) | OLLICE OF 1 FILLIONS |
| | BY A POSITION MEASURING |) | |
| | SYSTEM |) | |
| | DETITION FOR WITHING AWAI | THOI DING OF ADANI | DONINGENITE |

PETITION FOR WITHDRAWAL OF HOLDING OF ABANDONMENT OR, IN THE ALTERNATIVE, PETITION UNDER 37 CFR § 1.137(b) FOR REVIVAL OF ABANDONED APPLICATION

Mail Stop Petition
Commissioner for Patents
Washington, D.C. 20231
Attn: Office of Petitions
Petitions Information

Crystal Park One, Suite 520

017/12/2003 ANDNDRF1 00000031 231925 09835293 01 F081453 1300.00 0P Dear Sir:

I. PETITION FOR WITHDRAWAL OF HOLDING OF ABANDONMENT BASED ON FAILURE TO RECEIVE NOTICE OF MISSING PARTS

On July 7, 2003, your Petitioner received a Notice of Abandonment under 37 CFR 1.53(f) or (g) ("Notice of Abandonment"). The Notice of Abandonment asserted that the above-mentioned application (hereinafter "the '293 application") was deemed "abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on June 11, 2001. However, your Petitioner never received the Notice to File Missing Parts mentioned in the Notice of Abandonment. Since your Petitioner never received the original Notice of Missing Parts, your Petitioner requests that the holding of abandonment be withdrawn. MPEP § 711.03(c).

As mentioned in MPEP § 711.03(c), "an allegation that an Office Action was not received may be considered as a petition for the withdrawal of the holding of abandonment. If the allegation is adequately supported, the petition may be granted and a new Office action mailed." This section equally applies to the non-receipt of a Notice of Allowance as held in the case <u>Delger, Inc. v. Schuyler</u>, 172 USPQ 513 (D.D.C. 1971). While a Notice of Missing Parts is not an Office Action or a Notice of Allowance per se, it is an official paper sent by the U.S. Patent Office that requires a timely reply from the Applicant in order to

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avoid abandonment of the application. Accordingly, your Petitioner believes that MPEP \$\]
711.03(c) equally applies to the non-receipt of a Notice of Missing Parts. Based on this belief, your Petitioner petitions that the holding of abandonment of the '293 application be withdrawn for the reasons set forth below.

A review of certain events occurring on or after July 7, 2003 reveals that your

Petitioner never received the Notice of Missing Parts for the '293 application. In particular,

after receiving the Notice of Abandonment, the undersigned searched his firm's files

regarding the '293 application and did not find the Notice of Missing Parts.

In addition, on or after July 7, 2003 the undersigned searched and reviewed the docket record of his firm regarding papers received from the U.S. Patent Office regarding the '293 application. That search showed that the Notice of Missing Parts was not received by the undersigned's firm. For example, the search revealed that the daily, manually entered log of the undersigned's firm did not contain an entry for August 11, 2001¹ for client matter 56/350 (see Exhibit A), which is the client matter for the '293 application².

August 11, 2001 is the initial due date for the Notice of Missing parts mailed on June 11, 2001.

² Note that the log identified as Exhibit A has been redacted so as to delete references to the identity of litigation matters, the identity of inventors and attorneys and comments not related to receipt of papers from the U.S. Patent Office.

Furthermore, the search revealed that the undersigned's firm's patent database <u>failed</u> to show an entry indicating that the Notice of Missing Parts had been received (see Exhibit B)³.

Based on the review of the files regarding the '293 application, the log (Exhibit A) and the patent database (Exhibit B), the evidence shows that the Notice of Missing Parts was never received by your Petitioner. Accordingly, the present Petition for Withdrawal of Holding of Abandonment should be granted.

II. ALTERNATIVE PETITION UNDER 37 C.F.R. § 1.137(b)

Should the above Petition for Withdrawal of Holding of Abandonment not be granted, your Petitioner requests that, in the alternative, the present Petition be treated as being based upon 37 C.F.R. § 1.137(b) for unintentional abandonment. Accordingly, your Petitioner hereby declares that the abandonment of the above-mentioned application was unintentional on his part. In addition, your Petitioner declares that the entire delay in filing a response to the Notice of Missing Parts from its due date of August 11, 2001 to the date of the filing of a grantable Petition under 37 C.F.R. § 1.137(b) was unintentional.

There is evidence that the abandonment was unintentional. As mentioned above, your Petitioner never received the Notice of Abandonment. This is conclusive evidence that

³ Note that the entry "US-INCOMPLETE APPLICATION/MISSING PARTS NOTICE" at the top of page 2 is a reminder that the Notice of Missing Parts was not received.

there was no intent to abandon the above-mentioned application.

Based on the facts above, your Petitioner submits that he has shown that the abandonment of the above-mentioned application was unintentional. Therefore, your Petitioner respectfully petitions the Commissioner to revive the above-mentioned application. Your Petitioner is including: (1) the petition fee of \$1,300.00 as required under 37 C.F.R. § 1.17(m), (2) a copy of a Declaration and Power of Attorney for the '293 application, and (3) a check for \$ 894.00 to cover the payment of the filing fee of \$ 710.00, the extra claim fee of \$ 54.00 for three additional claims and the surcharge of \$130 as required under 37 C.F.R. § 1.137(b)(1)⁴. Items (2) and (3) are being filed in response to the Notice of Missing Parts. Should there be other items that need to be filed in response to the Notice of Missing Parts, your Petitioner requests that the items be identified and additional time to supply the items since your Petitioner does not have a copy of the Notice of Missing Parts. Your Petitioner also requests a copy of the Notice of Missing Parts be supplied so that the file of the Petitioner's '293 application can be updated. The undersigned requests that his firm's deposit account no. 23-1925 be charged for any deficiencies in the above fees.

⁴ The check for \$894.00 reflects the filing fees and surcharge due as of August 11, 2001, the initial due date for the Notice of Missing parts mailed on June 11, 2001. No Petition for an Extension of Time is being filed at this time since no Notice of Missing Parts was ever received. If such a Petition is required, then the undersigned petitions for the extension of time and authorizes payment of the extension fee from his firm's deposit account no. 23-1925.

Furthermore, the facts submitted previously show that the Notice of Missing Parts was never received by your Petitioner. Accordingly, your Petitioner requests that the Petition fee under 37 C.F.R. § 1.17(m) and any other fees incurred due to the failure to receive the Notice of Missing Parts be refunded to the Brinks Hofer firm, since the Brinks Hofer firm is not at fault in any way for the failure of the United States Postal Service to properly deliver the mail.

Respectfully submitted,

John C. Freeman

Registration No. 34,483 Attorney for Petitioner

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, Illinois 60610 (312) 321-4200

Dated: September 8, 2003

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OFFICE OF PETITIONS

223/143 <u>Saturday 11 August</u> 6555/234 - - File VS & Foreign based on Prov. -Final i Amenoment-- Amenoment + brawings RECEIVED SEP 1 5 2003 Am anoment-OFFICE OF PETITIONS 9281/3564-115/434-10541/30-FINAL ABENDBENT -

9281/4/50- File VS AN. - 6-5

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OFFICE OF PETITIONS



Patent: 56-350, United States, Regular, National, Original

Patent Data

| Docket Number | 56-350 |
|--------------------|-----------------|
| Country | United States |
| Case Type | Regular |
| Relation Type | Original Filing |
| Filing Type | National |
| Filing Number | |
| Status | Filed |
| Sub Status | Pending |
| Parent Country | |
| Patent Family | |
| Application Number | 09/835,293 |
| Patent Number | |
| Publication Number | |
| Pay Maint Fee | Yes |
| Customer Code | |

| Supervising Atty | John C. Freeman |
|--------------------|-------------------------------|
| Agent | John C. Freeman |
| Agent | |
| Client | HEIDENHAIN GMBH, DR. JOHANNES |
| Assignee | |
| Reference Number | 56-350 |
| First Filing Date | 04/13/2001 |
| Sub Status Date | |
| Parent Filing Date | |
| Parent Grant Date | |
| Application Date | 04/13/2001 |
| Issue Date | |
| Publication Date | |
| Client Ref No | 0967-US/Pr |

Title

MEHTOD AND DEVICE FOR VIBRATION DETECTION TO GO WITHA POSITION MEASURING SYSTEM

Remarks

IDS MEMO SENT WITHOUT FILE ON 5-30-2001 BASED ON FOREIGN APPL. 100 18 298.4 FILED 4/13/2000

Actions

| Action | Base Date | Action Due Date | Indicator | Completed Date | Postcard/Resp Sent Dt | Notes |
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| US-ABANDONMENT NOTICE | 07/07/2003 | | | Duce | Schi Di | |
| File By Due Date-USA | 04/13/2000 | 05/13/2000 | Reminder | 04/13/2001 | | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION |
| File By Due Date-USA | 04/13/2000 | 06/13/2000 | Reminder | 04/13/2001 | | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION |
| File RCT | 04/13/2000 | 06/13/2000 | Reminder | | • | US-APPLICATION FILED / FILING RECEIPT |
| File By Due Date-USA | 04/13/2000 | 07/13/2000 | Reminder | 04/13/2001 | | US-FOREIGN APPLN. |

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| | | | | | APPLICATION |
| Notice Of Missing Parts | 04/13/2000 07/13/2000 | Reminder | | | US-INCOMPLETE APPLICATION / MISSING PARTS NOTICE |
| File By Due Date-USA | 04/13/2000 08/13/2000 | Reminder | 04/13/2001 | | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION |
| File By Due Date-USA | 04/13/2000 09/13/2000 | Reminder | 04/13/2001 | | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION |
| File By Due Date-USA | 04/13/2000 10/13/2000 | Reminder | 04/13/2001 | | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION |
| File By Due Date-USA | 04/13/2000 11/13/2000 | Reminder | 04/13/2001 | • | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION |
| File By Due Date-USA | 04/13/2000 12/13/2000 | Reminder | 04/13/2001 | | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION |
| File By Due Date-USA | 04/13/2000 01/13/2001 | Reminder | 04/13/2001 | | US-FOREIGN APPLN. / PRIORITY / FILE US APPLICATION |
| File By Due Date-USA | 04/13/2000 02/13/2001 | Reminder | 04/13/2001 | | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION |
| File By Due Date-USA | 04/13/2000 03/13/2001 | Reminder | 04/13/2001 | | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION |
| File By Due Date-USA | 04/13/2000 04/13/2001 | Final | 04/13/2001 | | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION |
| Office Action Received? | 04/13/2000 06/13/2001 | Reminder | | | US-FILING DATE / FIRST PTO ACTION PRINTED 7-13-2001 |
| Office Action Received? | 04/13/2000 07/13/2001 | Reminder | | | US-FILING DATE / FIRST PTO ACTION PRINTED 7-13-2001 |
| Information Disclosure Statement | 04/13/2001 07/13/2001 | IDC - CPI Gen. | 07/06/2001 | 07/09/2001 | IDS |
| Office Action Received? | 04/13/2000 08/13/2001 | Reminder | | | US-FILING DATE / FIRST PTO ACTION PRINTED 7-13-2001 |
| Office Action Received? | 04/13/2000 09/13/2001 | Reminder | | | US-FILING DATE / FIRST PTO ACTION PRINTED 7-13-2001 |
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| US-Frn. Fil. Letter | 12/13/2001 | | | | |
| Action Due | 04/13/2001 12/13/2001 | IDC - CPI Gen. | 04/13/2001 | | FFL |
| US-Foreign Convention - Utility | 04/13/2002 | ! | | | |
| Foreign Filing Completed By | 04/13/2001 04/13/2002 | IDC - CPI Gen. | 04/13/2001 | | FRN CONV |
| Published | 10/13/2002 | 2 | | | |
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| US-PETITION TO REVIVE | 08/07/2003 | 3 | | | |
| US-PETITION TO | 09/07/2003 | 3 | | | |

REVIVE (FINAL)

Office Action Received?

09/13/2003 Reminder

Inventors

Inventor Name

Assignment Date

STRASSER, ERICH

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KXPP - Patent: MEHTOD AND DEVICE FOR VIBRATIO

Docket Number

56-350

Country

United States

Case Type **Relation Type** Regular

Filing Type

Original Filing National

Application Date

Apr 13 2001

Supervising Atty

Application Number 09/835,293 John C. Freeman

Total Claims

0

Ind. Claims\Designs 0 Status

Filed

Reference Number

56-350

Office Location Pay Maint Fee

Chicago Yes

Client Ref No

0967-US/Pr

CreateUser

CreateDate **UpdateUser**

UpdateDate Responsible Atty

Client

First Filing Date Sub Status

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Sep 7 2002 jrodrigu

Jul 15 2003 John C. Freeman

HEIDENHAIN

GMBH, DR. **JOHANNES** Apr 13 2001

Pending jrodrigu

Jul 15 2003

Actions

| Action | Base Date | Action Due Date | Indicator | Completed Date | Notes | Postcard/Resp Sent Dt |
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| US- ABANDONMENT NOTICE | Jul 7 2003 | | | | | |
| File By Due Date- USA | Apr 13 2000 | May 13 2000 | Reminder | Apr 13 2001 | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION | |
| File By Due Date- USA | Apr 13 2000 | Jun 13 2000 | Reminder | Apr 13 2001 | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION | |
| File RCT | Apr 13 2000 | Jun 13 2000 | Reminder | | US-APPLICATION FILED / FILING RECEIPT | |
| File By Due Date- USA | Apr 13 2000 | Jul 13 2000 | Reminder | Apr 13 2001 | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION | |
| Notice Of Missing Parts | Apr 13 2000 | Jul 13 2000 | Reminder | | US-INCOMPLETE APPLICATION / MISSING PARTS NOTICE | |

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| File By Due Date- USA | 112 | Dec 13 2000 | Reminder | Apr 13 2001 | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION | |
| File By Due Date- USA | Apr 13 2000 | Jan 13 2001 | Reminder | Apr 13 2001 | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION | |
| File By Due Date- USA | Apr 13 2000 | Feb 13 2001 | Reminder | Apr 13 2001 | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION | |
| File By Due Date- USA | Apr 13 2000 | Mar 13 2001 | Reminder | Apr 13 2001 | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION | |
| File By Due Date- USA | Apr 13 2000 | Apr 13 2001 | Final | Apr 13 2001 | US-FOREIGN APPLN. PRIORITY / FILE US APPLICATION | |
| Office Action Received? | Apr 13 2000 | Jun 13 2001 | Reminder | | US-FILING DATE / FIRST PTO ACTION PRINTED 7-13-2001 | |
| Office Action Received? | Apr 13 2000 | Jul 13 2001 | Reminder | | US-FILING DATE / FIRST PTO ACTION PRINTED 7-13-2001 | |
| Information Disclosure Statemen | Apr 13 2001 | Jul 13 2001 | IDC - CP Gen. | Jul 6 2001 | IDS | Jul 9 2001 |
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| Office Action Received? | Apr 13 2000 | Sep 13 2001 | Reminder | | US-FILING DATE / FIRST PTO ACTION PRINTED 7-13-2001 | |
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| Office Action Received? | Apr 13 2000 | Oct 13 2001 | Reminder | | US-FILING DATE / FIRST PTO ACTION PRINTED 7-13-2001 | |
| Office Action Received? | Apr 13 2000 | Nov 13 2001 | Due Date | | US-FILING DATE / FIRST PTO ACTION PRINTED 7-13-2001 | |
| US-Frn. Fil. Letter | | Dec 13 2001 | | | | |
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| Published | | Oct 13 2002 | | | | |
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| Office Action Received? | | Jul 30 2003 | | | | |
| US-PETITION TO REVIVE | | Aug 7 2003 | | | | |
| US-PETITION TO REVIVE (FINAL) | | Sep 7 2003 | | | | |

Remarks

| Text |
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| IDS MEMO SENT WITHOUT FILE ON 5-30-2001 BASED ON FOREIGN APPL. 100 18 298.4 |
| FILED 4/13/2000 |

Inventors

Inventor Name STRASSER, ERICH

Title

| Text |
|--|
| MEHTOD AND DEVICE FOR VIBRATION DETECTION TO GO WITHA POSITION |
| MEASURING SYSTEM |

File Tracking

| File Given | To Date | Given |
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| JCF | Jul 15 | 2003 |

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